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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: De La Cruz et al.	: Group Art Unit:
Serial No:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Confirmation No.:	: 11400 Burnet Road
Title: MANAGING FAILOVER OF J2EE COMPLIANT MIDDLEWARE IN A HIGH AVAILABILITY SYSTEM	: Austin, Texas 78758

DATE: 01-20-04

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Applications
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1). The requirement to include copies of U.S. patents is waived. See 37 CFR 1.491(b).

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No.

Applicant: De La Cruz et al.

Filing Date: _____

Group: _____

Atty. Docket No. AUS920040005US1

Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub-class</u>	<u>Filing Date if Appropriate</u>
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FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation</u>
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- ____ CA Joedecke et al., Highly Available DB2 Universal Database using Tivoli System Automation for Linux, ftp.software.ibm.com/software/data/pubs/paper/halinux.pdf , Available as of 1/14/2004, Copyright 2003
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- ____ CD Rao, IBM DB2 Universal Database clustering - High availability with WebSphere Edge Server, <http://www-106.ibm.com/developerworks/ibm/library/i-cluster/> , Available as of 1/14/2004, Copyright 2002

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____ CG Amrehn et al., Linux on IBM zSeries and S/390: High Availability for z/VM and Linux, www.redbooks.ibm.com/redpaper/pdfs/redp0220.pdf, Available as of 1/14/2004, Copyright 2002

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Date Considered:

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